Floodplain Management and No Adverse Impact: Law and Policy Concerns

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Gulf Coast Community Flood Resilience:
Application of No Adverse Impact for Coastal Communities
Biloxi, MS • September 8, 2016

OVERVIEW

- Background:
 - No Adverse Impact (NAI) Principles
- Ownership of the Beach & Tidelands
 - The Public Trust Doctrine
- Legal Authority for Local Action
 - 5th Amendment Takings Claims
- Design Smart: Avoiding Takings Claims
- Recent Trends: A Look at Recent Legal Challenges to Stormwater Management and Coastal Development
- A Living Shorelines Example
- Recommended Practices: Conducting an Audit

POLICIES CONTRIBUTE TO RISK

Federal Policies

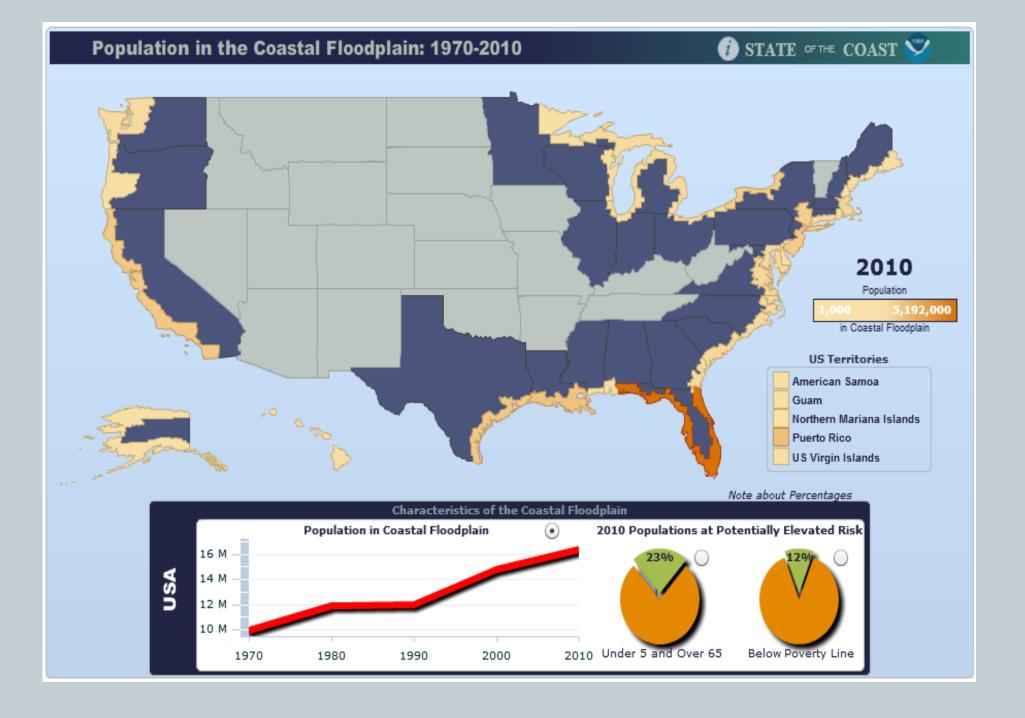
- NFIP & the 100-Year Standard
- Emphasis on structural approaches
- Disaster relief environment

State & Communities

- Emphasis on managing land use for short-term benefits
- Flooding often seen as a federal problem

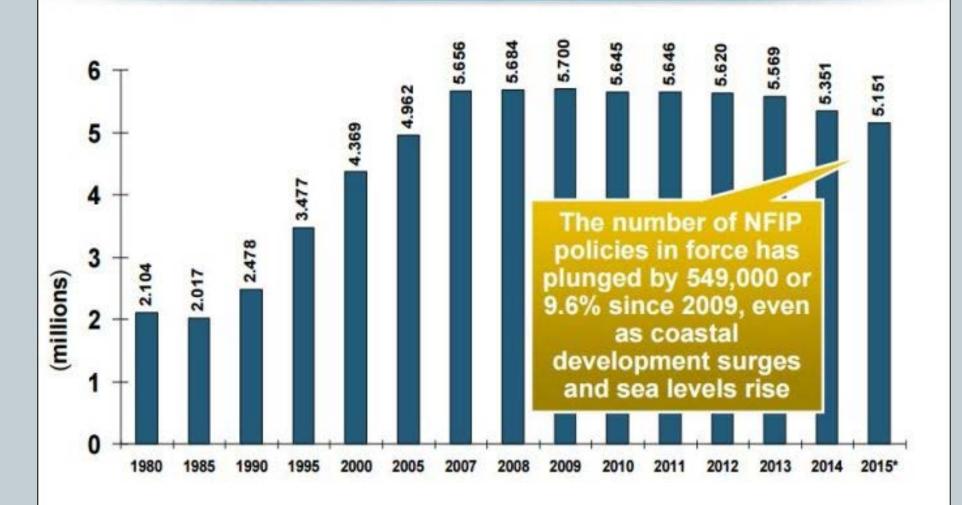
Public Perceptions

- Unaware of or unwilling to accept residual risk
- Misplaced concerns about having to obtain flood insurance



Number of National Flood Insurance Program Policies in Force at Year-End, 1980-2015*





Source: National Flood Insurance Program.

^{*} As of July, 2015

CURRENT POLICIES INCREASE RISK:

- Promote intensification in risk areas
 - Ex: development in floodplain
- Do not take into consideration changing conditions
- Ignores adverse impacts to existing properties
- Undervalues natural floodplain functions

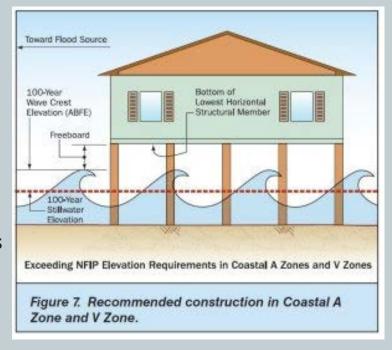
If we continue to encourage at-risk development and ignore the impact to others, can we accept the consequences...

... and, are you willing to pay for it?



NAI MITIGATION PRINCIPLES

- Mitigate While Not Transferring A Problem Elsewhere
- On-site Mitigation Retrofits
 - Elevation
 - Floodproofing
- Nonstructural Mitigation
 - Acquisition, Relocation & Restoration
 - Upstream Detention/Retention
- Structural Measures Often With Adverse Impacts
 - Levees
 - Channels
 - Dams



FLOODPLAIN MANAGEMENT: ROLES

FEDERAL ROLE

- Federal
 - National Flood Insurance Act
 - FEMA, Corps, EPA & other federal agencies
 - National Flood Insurance Program

STATE ROLE

- State
 - State Floodplain Managers
 - State Land Use Programs & Policies
 - State Emergency Management
 - Cooperating Technical Partners

FLOODPLAIN MANAGEMENT ROLES

LOCAL ROLE

- Development Standards & Review
- Permitting & Code Enforcement
- Local Emergency Management Programs
 - Community Rating System



PERSONAL ROLE

- Risks & Decisions
- Information & Preparation
- Responsibilities & Expectations



PUBLIC TRUST DOCTRINE

Origins in Roman Law:

By the law of nature these things are common to all mankind, the air, running water, the sea and consequently the shores of the sea ... The sheashore extends as far as the greatest winter flood runs up.

- Justian Code 535 CE (AD)





LEGAL ORIGINS

- U.S. Constitution
 - States retain ownership of the lands beneath navigable waters
 - Federal government retains supreme, but not exclusive, control over navigation



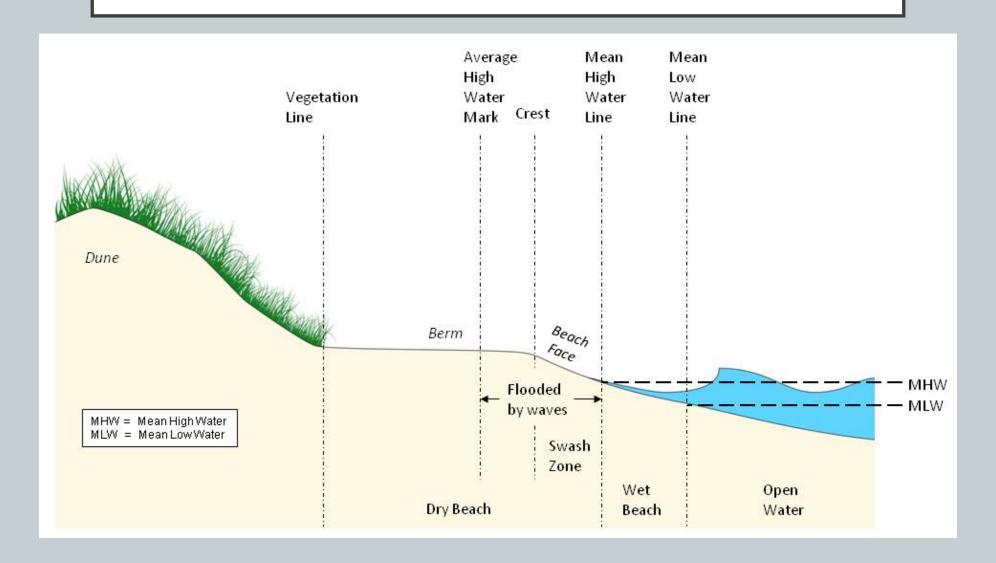
PUBLIC TRUST DOCTRINE

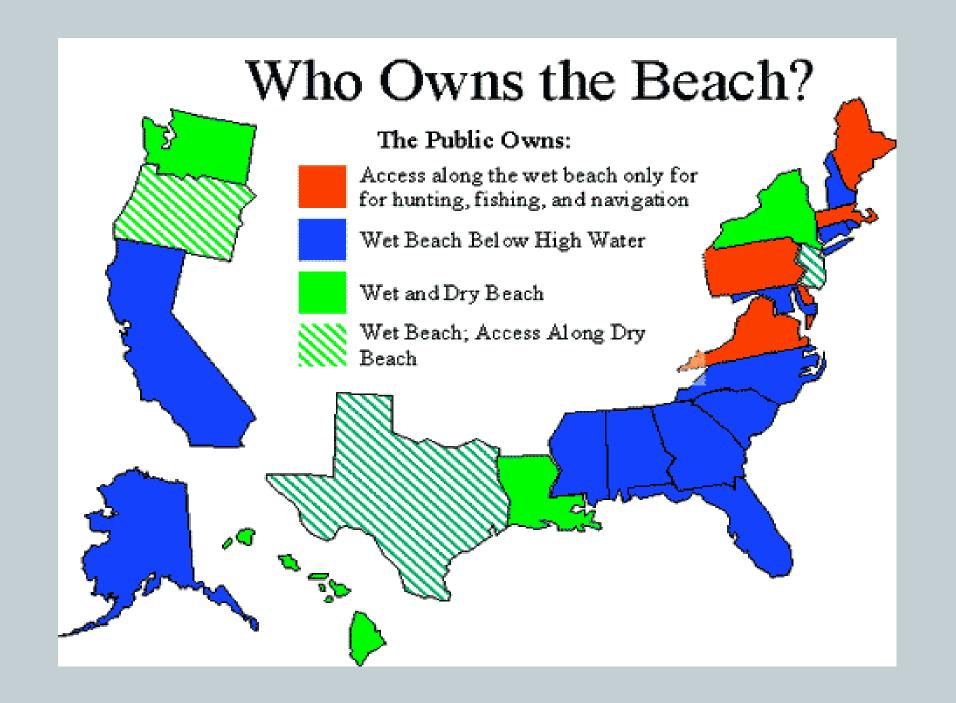
- State ownership of submerged lands held in trust for the public.
 - Of particular significance when planning for sea level rise
 - Also applies to riparian areas
- Can impact waterfront property boundaries
- Mississippi Public Trust Tidelands Act
 - Fixed waterfront boundaries along hardened shorelines; marsh properties continue to shift.

WATERS & LANDS IN PUBLIC TRUST

- Tidewaters to their farthest reaches
- Tidelands
 - In Mississippi, be aware of the Mississippi Public Trust Tidelands Act.
- Navigable-in-fact waters
- Permanently submerged lands
 - Unless previously conveyed to private hands by a Kings Grant (Spanish Land Grant another common term in our area).
- Adjacent wetlands (varies widely among States)

LEGAL ZONES OF A BEACH







LEGAL AUTHORITY FOR LOCAL FLOODPLAIN MANAGEMENT

Basic Principles Underlying Local Land Use Law

FEDERAL AUTHORITY

- Tenth Amendment of U.S. Constitution:
- The powers not delegated to the United States by the Constitution, nor prohibited by it to the States, are reserved to the States respectively, or to the people.
 - This is origin of state police power.
- Gives states authority to adopt laws for the betterment of the public health,
 safety, morals and general welfare.
 - States have delegated authority to local governments through zoning enabling statutes.

STATE DELEGATION TO LOCAL

Mississippi Municipal Home Rule Statute:

- Gives municipal governments the authority to control their own affairs, properties, and finances.
- Authority to adopt local laws and ordinances to manage these areas.
- Limitation: cannot be inconsistent with Mississippi Constitution or state statutory laws.

Mississippi Planning Authority:

- Local planning commissions are authorized, but not required, to develop comprehensive plans. No plan can be adopted until a public hearing is held.
- Zoning Authority: governing authority of each municipality and county may,..., regulate and restrict the erection, construction, reconstruction, alteration, repair or use of buildings, structures or land.
- Miss. Code § 17-1-1 through § 17-1-39

A FEW OTHER LAWS AT PLAY

U.S. Constitution:

- Due Process I4th Amendment
- Takings 5th Amendment
- Freedom Speech Ist Amendment
- Federal and State Case Law

Other Federal Laws

- Religious Land Use and Institutionalized
 Persons Act
- Americans with Disabilities Act
- Federal Fair Housing Amendments of 1988
- Telecommunications Act of 1996
- Civil Rights Act of 1968, Section 1983

5TH AMENDMENT TO THE US CONSTITUTION

No person shall be ... deprived of life, liberty or property, without due process of law;

Nor shall private property be taken for public use without just compensation.



WHAT CONSTITUTES A TAKING?

- Physical occupation of private land
- Regulation that "goes too far"
- Permit condition that lacks a rational connection or "essential nexus" with a valid public purpose
- No "rough proportionality" between permit condition and impact of development
- Total deprivation of economic use
- Interference with "reasonable investment-backed expectations"
- Compensable taking may occur even when restriction is temporary
 - Called a "temporal taking" and value based on calculation of tempory loss

LANDOWNER RIGHTS DO HAVE LIMITS

- No right to be a nuisance
- No right to violate the property rights of others
 - Ex: Can't flood your neighbors property
- No right to be negligent
 - Can't manage your property in a way that could reasonably be expected to cause harm to others
- No right to violate laws of reasonable surface water use or riparian laws
 - Ex: Can't put a dam across a river that flows through your property
- No right to violate the public trust doctrine



DESIGN SMART: ADDRESSING POTENTIAL TAKINGS CLAIMS

A Look at Ways to Minimize the Risk of Takings Claims

LEGAL STANDARD

Land Use Laws Must Do Two Things:

- Accomplish a legitimate public objective, and (Public Health, Safety, Morals, and General Welfare)
- 2) Allow the landowner some economically viable use of the land.

(Does not have to be the landowners preferred use)

LEGITIMATE PUBLIC OBJECTIVE

- Broad authority can encompass many things
- Identify the public objective you are pursuing through the ordinance
- Identify studies/research that supports the terms of your ordinance
 - For example, flood maps may justify building higher or not building in certain areas because that accomplishes the legitimate public purpose of protecting public health and safety.

LEGITIMATE PUBLIC OBJECTIVE & NO ADVERSE IMPACTS

REMEMBER THIS IS BROAD:

- Design standards that limit adverse impacts in floodways can fall within this category.
 - They protect public safety and general welfare!
- You are probably already doing some of these things.

EXAMPLES INCLUDE:

- Building Codes
- Freeboard
- Preserving natural floodplain functions
- Green Infrastructure and Living Shorelines
- Low Impact Development techniques to manage stormwater

LEGITIMATE PUBLIC OBJECTIVE

Connect the dots in your ordinance

 Including a preface or introductory statement that references the purpose you are accomplishing and the studies that back up this objective will further your cause should you find yourself in a legal dispute down the road; connect to the comprehensive plan as well.

Remember Due Process!

You likely already hold public meetings and give the public the opportunity to comment on changes. This protects you from due process challenges down the road.

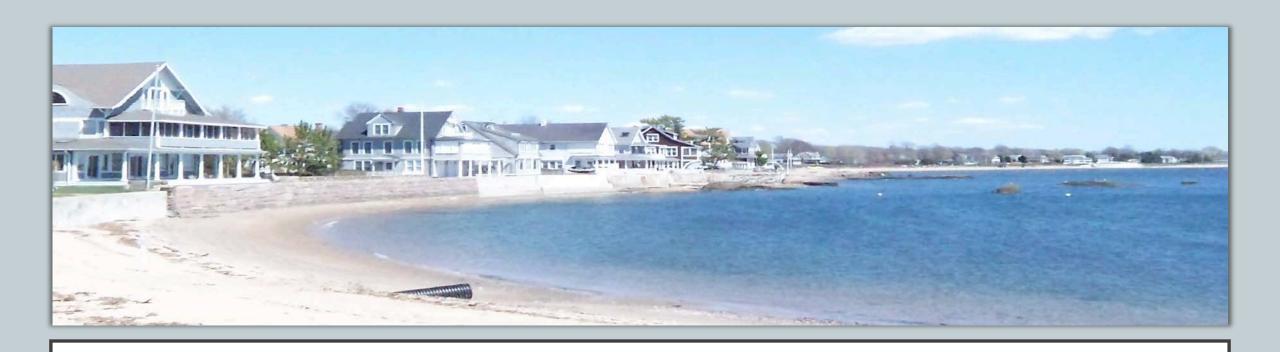
ECONOMICALLY VIABLE

- <u>Does not</u> mean that property owner gets to do whatever they want with property.
- Does mean that the property still holds some economic value.
- How to address:
 - Have variance provisions that can be used where the ordinance makes a property completely unbuildable.
- And remember that economic viability only applies to activities the property owner could legally undertake

ECONOMICALLY VIABLE

- US Supreme Court:
- A regulation that destroys "all economically beneficial or productive use" of the lots is a taking <u>unless</u> the state could show that **background principles of nuisance and property law** already prohibit the same uses.
- Back to the public trust doctrine (as a background principle of property law) and its role in shoreline management for sea level rise.

Lucas v. South Carolina Coastal Council



RECENT TRENDS

A Look at Recent Legal Challenges to

Stormwater Management and Coastal Development

WASTEWATER MANAGEMENT

- Boroujerdi v. City of Starkville (Miss. Feb. 12, 2015)
 - What is a city's liability for maintaining/operating wastewater management systems?
- Mississippi Tort Claims Act (MTCA)
 - Local government immune from liability when performing a discretionary function but not immune when performing ministerial function.
- So... is maintenance of city run stormwater system discretionary or ministerial?

WASTEWATER MANAGEMENT

- 2011 (City of Jackson v. Fortenberry):
 - MS Supreme Court held it stormwater discretionary
- 2013 (Little v. MDOT):
 - MS considers MTCA in road maintenance case.
 - Holds: if the function (road maintenance) is required by law, then it is a ministerial function
- 2015 (Boroujerdi):
 - Court finds that state and federal law require sewage maintenance
 - Therefore maintaining is ministerial
 - Meaning... city not exempt from liability must perform maintenance (ie City cannot refuse to act if problem is known)

DUNE PROTECTION

- Borough of Harvey Cedars v. Karan, 214 N.J. 384 (2013)
 - The City began a large-scale public-works project to protect homes and business from storm-surge destruction by creating a barrier-wall of dunes connecting with other dunes to run the entire length of Long Beach Island
 - Exercised eminent domain authority where property owners did not voluntarily consent
 - Property owners sued because 22 foot high dune would block their view.
 - Successful at lower court level, appealed to NJ Supreme Court

DUNE PROTECTION

- Borough of Harvey Cedars v. Karan, 214 N.J. 384 (2013)
 - NJ Supreme Court held that while the dune may have reduced their property value by blocking the view, the dune may also have raised their property value by adding protection from storm surge.
 - In calculating whether the property owner suffered reduced property values (aka taking), the value ADDED by the dune protection had to be taken into consideration and might offset in property value diminution.

BULKHEADS & THE PUBLIC TRUST

- Kiawah Development Partners v. South Carolina Dept. of Health and Environmental Control, 2014 WL 6992119 (S.C. Dec. 10, 2014)
- Developer sought to install a bulkhead along the riverside of a barrier island to protect a new development from eroding banks.
- Town approved development plan included 50 homes and 2 docks
- State denied permit for 2,783 foot long bulkhead that it found would permanently alter 2.5 acres of pristine tidelands

BULKHEADS & THE PUBLIC TRUST

- Kiawah Development Partners v. South Carolina Dept. of Health and Environmental Control
- The basic principle underlying the legal issues in this case is the public trust doctrine "which provides that lands below the high water line are owned by the State and held in trust for the benefit of the public."
- Under the public trust doctrine, state tidelands can, in limited circumstances, be altered and still serve the public interest.
- <u>But under South Carolina law</u>, the public interest is generally best served when the tidelands are preserved in their natural state.

BULKHEADS & THE PUBLIC TRUST

- Kiawah Development Partners v. South Carolina Dept. of Health and Environmental Control
- The court, applying South Carolina law, found that the only one to benefit
 from the bulkhead was the developer of Kiawah and rejected an argument
 that the overall community would benefit financially from the
 development.
- Because the bulkhead would only benefit the developer at the cost of public trust tidelands, the court upheld the state agency's decision not to allow the bulkhead.
 - A smaller (250 foot) bulkhead was allowed that did not disturb a vast area of public trust tidelands.

LOCAL AUTHORITY & THE PUBLIC TRUST

- Town of Nags Head, North Carolina
- Local government used the public trust as basis for removal of beachfront homes after storm.
- Several waterfront homes objected to the application of the ordinance to their properties. Filled lawsuit when Town condemned the properties and ordered removal after a storm damaged the properties in 2009 by Hurricane Irene.

LOCAL AUTHORITY & THE PUBLIC TRUST

- The existence of any of the following conditions on any lot, whether improved or not, or other parcel of land within the corporate limits is hereby declared to be dangerous and prejudicial to the public health or safety and to constitute a public nuisance:
 - (i) Storm or erosion damaged structures and resulting debris. The existence of any of the following conditions associated with storm-damaged or erosion-damaged structures or their resultant debris shall constitute a public nuisance.
 - Damaged structure in danger of collapsing;
 - Damaged structure or debris from damaged structures where it can reasonably be determined that there is a likelihood of personal or property injury;
 - Any structure, regardless of condition, or any debris from damaged structure which is located in whole or in part in a public trust area or public land.

LOCAL AUTHORITY & THE PUBLIC TRUST

- Town of Nags Head, North Carolina
- State Supreme Court: questioned whether local governments had authority to implement the public trust; said that power was reserved to the state.
 - Threw the validity of the ordinance into question.
 - Lawsuits continued.
 - Town issued some building permits but work was very slow.
- But at the end of day: Case Settled Out of Court.
 - Homes will be removed.
 - Under the settlement agreement, all parties deny liability and no one admits fault.
 - Locals called it the "ghetto beach" because of the deteriorated state of the homes

THE "GHETTO BEACH"



The homes at Issue in North Carolina that the City wished to remove, locally referred to as the Ghetto Beach



A LIVING SHORELINE EXAMPLE

LIVING SHORELINES

- Living Shorelines are nature-based approaches to shoreline management.
- Apply elements of green infrastructure to shoreline erosion control.
- Can include a spectrum of techniques from all natural to hybrid approaches that include some natural and some hard design elements.
 - Appropriate design dependent on site suitability wave action, fetch, other site specific conditions
- Work best in low energy areas like bays, bayous, and marshes.

Range of Approaches from Green to Gray

GREEN - SOFTER TECHNIQUES Small Waves | Small Fetch | Gentle Slope | Sheltered Coast

HOW GREEN OR GRAY SHOULD YOUR SHORELINE SOLUTION BE?

GRAY - HARDER TECHNIQUES Large Waves | Large Fetch | Steep Slope | Open Coast

BULKHEAD

Parallel to the shoreline, vertical

Suitable For

and ferries).

Timber

Benefits

Material Options

· Steel sheet piles

· Composite carbon fibers

Moderates wave action

Manages tide level fluctuation

soil in place and allow for a stable

High energy settings and sites with

water fronts (eq: docking for ships

pre-existing hardened shoreline



Disadvantages

· No storm surge

No high water protection

Operations & Maintenance: •

Structure to hold the toe of existing or vegetated slope in place. Protects against shoreline erosion. Suitable For

EDGING

Most areas except high wave energy

Vegetation* Base with Material Options

- (low wave only, temporary)
- Erosion control blankets Geotextile tubes
- Living reef (ovster/mussel) Rock gabion baskets
- · Dissipates wave energy Slows inland water transfer
- · Provides habitat and
- ecosystem services
- water infiltration
- Toe protection helps prevent

Disadvantages

Appropriate in limited situations No high water protection vegetation growth and

wetland edge loss

vegetation growth and

Native plants and materials must be appropriate for current salinity and site conditions.

Operations & Maintenance: 4

approach would allow habitat connectivity, greater tidal exchange, and better waterfront access. Suitable For

Parallel to existing or vegetated

shoreline, reduces wave energy

and prevents erosion. A gapped

Most areas except high wave energy

environments. Vegetation* Base with

LIVING SHORELINE

SILLS

- Material Options
- - · Sand breakwaters
 - Rock gabion baskets
 - Benefits

· Provides habitat and

- ecosystem services Dissipates wave energy
- · Provides habitat and
- Increases natural storm
- · Toe protection helps prevent wetland edge loss

Disadvantages

- · Require more land area
 - No high water protection
 Uncertainty of successful

Operations & Maintenance: •

Operations & Maintenance: ...

BEACH NOURISHMENT & VEGETATION ON DUNE



Helps anchor sand and provide a buffer to protect inland area from waves, flooding and erosion. Low-lying oceanfront areas with

existing sources of sand and

· Expands usable beach area

Lower environmental impact

Redesigned with relative ease

Vegetation strengthens dunes

and increases their resilience to

Material Options

dunes with:

Benefits

· Rocky core

Sand with vegetation

Geotextile tubes

Flexible strategy

storm events.

ecosystem services

Suitable For

existing sources of sand and

Material Options

shoreline seaward.

Suitable For

· Sand

Benefita

Expands usable beach area

Large volume of sand added from

Widens the beach and moves the

outside source to an eroding beach

BEACH NOURISHMENT

ONLY

- than bard structures

- Redesigned with relative ease Provides habitat and ecosystem services

Disadvantages

- Requires continual sand resources
- No high water protection

 - Appropriate in limited situations

Disadvantages Possible impacts to regional sediment transport

Requires continual sand resources

- No high water protection

- Possible impacts to regional sediment transport

Initial Construction: • • •

Operations & Maintenance: ...

- - Require more land area

Can reduce water circulation Can create navigation hazard

Operations & Maintenance: ...

Rock/stone needs to be appropriately sized for site specific wave energy.

Operations & Maintenance: • •

COASTAL STRUCTURE

REVETMENT

and sand moving parallel to the and break waves. Retain sand placed on beach

GROIN

Suitable For Coordination with beach

- · Metal sheet piles

- Pre-cast concrete blocks
- Benefits
- Stabilizes wetland

Disadvantages

- Can reduce water circulation (minimized if floating breakwater is

- No high water protection

natural habitat availability) No high water protection

Suitable For

nourishment.

Material Options Most areas except high wave energy · Concrete/stone rubble'

Benefits

their life

Disadvantages

with marinas

BREAKWATER

- **Material Options**

Offshore structures intended to

of wave action and encourages

would allow habitat connect

to shore or not, and continuous or

greater tidal exchange, and better waterfront access.

Suitable For

- if low wave environment
- · Reduces wave force and height

Limited storm surge flood leve

- · Expensive in deep water

- vegetation crowth and competition

· Erosion of adjacent sites ecosystem le a, replaces native

· Protection from wave forces

· Methods and materials are

Can be combined with beach

nourishment projects to extend

Lays over the slope of a shoreline Protects slope from erosion and Sites with pre-existing hardened

Material Options

- · Stone rubble! Concrete blocks
- · Cast concrete slabs Sand/concrete filled bags

· Rock-filled gabion basket

- Benefits · Mitigates wave action
- Indefinite lifesonn

· Minimizes adjacent site impact

- Disadvantages
- · No major flood protection Require more land area
- Erosion of adjacent
- unreinforced sites Require more land area
- No high water protection
- Prevents upland from being a sediment source to the system

Operations & Maintenance: 00

- · Simple repair
 - Disadvantages
 - No major flood protection · Erosion of seaward seabed
 - Erosion of adjacent
 - Loss of Intertidal habitat overtopping oceanfront

Operations & Maintenance: • •

Prevents upland from being a sediment source to the system Induces wave reflection

SEAWALL



Parallel to shoreline, vertical or sloped wall. Soil on one side of wall is the same elevation as water on the other. Absorbs and limits impacts of large waves and directs flow away

Suitable For

Areas highly vulnerable to storm surge and wave forces.

Material Options

- Concrete

Steel sheet piles

- Benefits
- Prevents storm surge flooding
- Resists strong wave forces Shoreline stabilization behind
- Low maintenance costs than other techniques (e.g.

vegetation only)

- Disadvantages · Erosion of seaward seabed
- Disrupt sediment transport leading
- Higher up-front costs Loss of intertidal zone

Prevents upland from being a May be damaged from overtopping

Initial Construction: • • • • Operations & Maintenance: e e e

Initial Construction: • = up to \$1000 per linear foot, • = \$1001 - \$2000 per linear foot, • • = \$5001 - \$5000 per linear foot, Operations and Maintenance (yearly for a 50 year project life): • = up to \$100 per linear foot, • • = \$101 - \$500 per linear foot, • • • = over \$500 per linear foot

LIVING SHORELINE LOCAL ORDINANCE

- Local governments have a range of options for protecting and managing their shorelines.
- Living shorelines can provide an alternative to hardened shorelines that provide the landowner with erosion control while still maintaining much of the natural functions by providing vegetated shorelines or a hybrid approach.
 - Great for fishing, water quality, etc.
- Living Shoreline Model Ordinance and drafting guide available at http://masgc.org/publications/living-shorelines
 - Boyd & Pace, "Coastal Alabama Living Shorelines Policies, Rules, and Model Ordinance Manual" (2013)
 - Contact me if you are interested or having questions about the model ordinance!

A FEW EXAMPLES

- Kent County, MD
 - KENT COUNTY, MD., CODE § 6-3.10
- Brevard County, FL
 - Brevard County, Fla., Code § 62-3661
- Honolulu County, HI
 - Honolulu County, Haw., Code § 23-1.8
- Kaua'l County, HI
 - Kaua'i County, Haw., Code § 8-27.2



SAMPLE APPROACHES

KENT COUNTY, MARYLAND

- Requires property owners wanting hardened shoreline armor to demonstrate that a living shoreline is inappropriate for that site.
- Establishes criteria for evaluating the appropriateness of erosion control.
- KENT COUNTY, MD., CODE § 6-3.10 (2013)).

KAUA'I COUNTY, HAWAII

- Newly constructed structures cannot (I) adversely affect beach processes,
 (2)artificially fix the shoreline, (3) interfere with public access or public views along the shoreline, (4) impede natural processes and/or movement of the shoreline and/or sand dunes, or (5) alter the grade of the shoreline set back area.
- KAUA'I COUNTY, HAW., CODE § 8-27.2
 (2013)



RECOMMENDED PRACTICES: CONDUCTING AN AUDIT

CONDUCT AN AUDIT

- Review any existing policies in place
- Clearly identify the new zoning objective
 - Look at other communities and various model ordinances find an ordinance that suits your community (tailor to your needs – cookie cutter approach can cause problems)
- Compare to existing zoning
 - Any possible conflicts that need resolving?
- Potential Roadblocks
 - Any conflict with state or federal law? Mechanism in place for addressing taking concerns?
 - For instance, does it involve Impact Fees? Not allowed under MS law.
- Develop clear recommendations for achieving objectives

SUGGESTED COMPONENTS OF ORDINANCE

- Statement of purpose:
 - Give reasons for why ordinance is being adopted.
- 2. Definitions:
 - Define terms relevant to the ordinance.
- 3. Scope:
 - Establish geographic applicability of ordinance.
- 4. Requirements:
 - Sets out the specifics of what the ordinance is requiring.

SAMPLE STATEMENT OF PURPOSE

- Kent County, MD
 - The purpose of this section is to encourage the protection of rapidly eroding portions of the shoreline in the County by public and private landowners.
 - When such measures can effectively and practically reduce or prevent shoreline erosion, the use of nonstructural shore protection measures shall be encouraged to conserve and protect plant, fish, and wildlife habitat.
- The purpose is to protect from erosion and conserve habitat.

DEFINE IMPORTANT TERMS

- Living Shorelines example:
- Brevard County, Florida:
 - Defines living shorelines as "erosion management techniques, such as the strategic placement of plants, stone, sand, and other structural and organic materials, that are used primarily in areas with low to moderate wave energy, and are designed to mimic natural coastal processes."
- Clear definitions make it easier for regulators to enforce and easier to understand by property owners and developers.

Because at the end of day, no one wants to be in this situation.



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